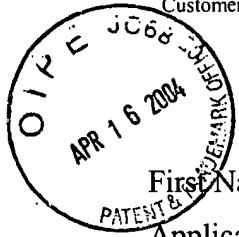


32692

Customer Number

Patent  
Case No.: 55343US007



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

First Named Inventor: TABAR, RONALD J.

Application No.: 10/691981

Group Art Unit:

Filed: October 23, 2003

Examiner: Unknown

Title: METHODS OF MAKING HIGH GAIN OPTICAL DEVICES HAVING A  
CONTINUOUS AND DISPERSIVE PHASE

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:

12 Apr 2004  
Date

*Stephen C. Jensen*  
Signed by: Stephen C. Jensen

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing references submitted for consideration by the Examiner. Copies of any cited foreign patents, non-patent literature, and unpublished US application documents are enclosed. Pursuant to the waiver in the Pre-OG Notice, dated July 11, 2003, copies of US patents and published US patent applications are no longer required and are not enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that each reference has been considered.

If a first Office Action on the merits has been mailed prior to the mailing date of this document, please charge the fee for consideration of an Information Disclosure Statement set

forth in 37 CFR § 1.17(p), and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723.

Respectfully submitted,

12 April 2004  
Date

By: Stephen C. Jensen  
Stephen C. Jensen, Reg. No.: 35,207  
Telephone No.: (651) 736-3369

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Page 1 of 1

Application Number

10/691981

Filing Date

October 23, 2003

First Named Inventor

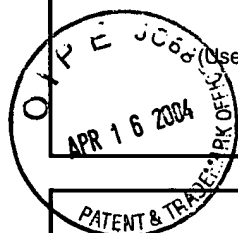
Tabar, Ronald J.

Art Unit

Examiner Name

Attorney Case Number

55343US007



## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US- 3,531,828	10/06/70	Nauta	
	A2	US- 5,223,276	06/29/93	Djordjevic et al.	
	A3	US- 5,751,388	05/12/98	Larson	
	A4	US- 5,783,120	07/21/98	Ouderkirk et al.	
	A5	US- 5,825,543	10/20/98	Ouderkirk et al.	
	A6	US- 5,867,316	02/02/99	Carlson et al.	
	A7	US- 5,991,077	11/23/99	Carlson et al.	
	A8	US- 6,005,713	12/21/99	Carlson et al.	
	A9	US- 6,031,665	02/29/00	Carlson et al.	
	A10	US- 6,057,961	05/02/00	Allen et al.	
	A11	US- 6,090,898	07/18/00	Tsunakawa et al.	
	A12	US- 6,111,696	08/29/00	Allen et al.	
	A13	US- 6,141,149	10/31/00	Carlson et al.	
	A14	US- 6,179,948	01/30/01	Merrill et al.	
	A15	US- 6,256,146	07/03/01	Merrill et al.	
	A16	US- 6,268,961	07/03/01	Nevitt et al.	
	A17	US- 6,279,906	08/28/01	Allen et al.	
	A18	US- 6,329,046	12/11/01	Merrill et al.	
	A19	US- 6,436,219	08/20/02	Francis et al.	

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1	WO	95/17303				

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.